UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

HARRINGTON GLOBAL OPPORTUNITY FUND, LIMITED

Plaintiff,

- against -

CIBC WORLD MARKETS CORP., CIBC WORLD MARKETS INC., BANK OF AMERICA SECURITIES, INC., MERRILL LYNCH CANADA INC., MERRILL LYNCH PROFESSIONAL CLEARING CORP., TD SECURITIES, INC., TD SECURITIES(USA) LLC, CORMARK SECURITIES, INC., UBS FINANCIAL SERVICES, INC., UBS SECURITIES CANADA, INC., SOCIETE GENERALE CAPITALE CANADA, INC., SG AMERICAS SECURITIES, LLC, and JOHN DOES 1 THROUGH 10.,

Defendants

No: 21-cv-761-LGS

JOINT STIPULATION AND [PROPOSED] ORDER FOR DISMISSAL OF CORMARK SECURITIES, INC. WITHOUT PREJUDICE

WHEREAS Plaintiff Harrington Global Opportunity Fund, Limited ("Plaintiff") filed a complaint (the "Complaint") against, amongst others, Defendant Cormark Securities, Inc. ("Cormark") in the above captioned matter;

WHEREAS Plaintiff and Cormark have agreed to dismiss without prejudice all claims against Cormark as set out in the Complaint;

NOW, THEREFORE, THE UNDERSIGNED STIPULATE AND AGREE AS FOLLOWS:

Pursuant to Rule 41(a)(2) of the Federal Rules of Civil Procedure, Plaintiff's claims against Cormark are hereby dismissed without prejudice, each party to bear its own attorneys' fees and costs.

| WARSHAW BURSTEIN | I | Ш | P |
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Attorney for Defendant Cormark Securities Inc.

| II IS SO ORDERED. |
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| THE HONORABLE LORNA G. SCHOFIELD |
| UNITED STATES DISTRICT JUDGE |